

Notice of Meeting

Delegated Officer Decisions

Friday 4 May 2018

Date of despatch of Agenda: Date Not Specified

For further information about this Agenda, or to inspect any background documents referred to in Part I reports, please contact Stephen Chard on 01635 519462
e-mail: stephen.chard@westberks.gov.uk

Further information and Minutes are also available on the Council's website at
www.westberks.gov.uk



WestBerkshire
C O U N C I L

Agenda

Part I

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Andy Day
Head of Strategic Support

West Berkshire Council is committed to equality of opportunity. We will treat everyone with respect, regardless of race, disability, gender, age, religion or sexual orientation.

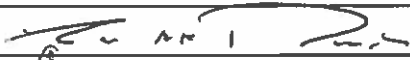

If you require this information in a different format or translation, please contact Moira Fraser on telephone (01635) 519045.

Delegated Officer's Decision

Reference	Service Grouping
	Environment – Public Protection Partnership
Subject:	Licensing Policy
Decision taken:	To approve that the draft policy goes for consideration
Reason for decision taken:	It is a legal requirement to consult on any draft policy prior to consideration by the Council
Other options considered:	None – see above
Decision taken by:	Sean Murphy
Scheme of Delegation Ref:	Inter Authority Agreement – 6 th January 2017 / Part 3 Scheme of Delegation
Job Title:	Public Protection Manager
Those consulted:	PPP Licensing Team Manager
Background papers:	Draft Consultation

I confirm that I have fully advised and have taken account of all the relevant facts in making this decision.

Date Decision Made	Date Decision will be Implemented (5 clear days)
4/5/18	n/a

Officer:	
Witnessed by:	
Date:	4/5/18

This decision is eligible to be 'called-in'. However, if the decision has not been 'called-in' by 5.00pm on , then it will be implemented.

If you have any queries regarding this decision, please contact:

Name: Laura Driscoll

Job Title: Principal Officer – Licensing Policy and Governance

Tel: 01344

Email:

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Licensing Policy Reviews - Summary Report

Committee considering report:	Licensing Committee
Date of Committee:	19 November 2018
Portfolio Member:	Councillor Marcus Franks
Date Portfolio Member agreed report:	22 March 2018
Report Author:	Laura Driscoll
Forward Plan Ref:	ID3429

1. Purpose of the Report

- 1.1 To seek authority to consult on amendments to the Council's Statement of Licensing Policy and Statement of Gambling Principles in accordance with the relevant legislation.

2. Recommendation(s)

- 2.1 Officers recommend that the attached annexes are used to commence consultation exercises to properly consider a review of the two licensing policy documents.

3. Implications

- 3.1 **Financial:** None
- 3.2 **Policy:** Both documents are required to be reviewed and re-published to comply with the relevant legislation
- 3.3 **Personnel:** None
- 3.4 **Legal:** Section 349 of the Gambling Act 2005 requires all licensing authorities to prepare and publish a statement of the principles that they propose to apply in exercising their functions under said Act, which will last for a maximum of three years and can be reviewed and revised by the authority at any time.
- Section 5 of the Licensing Act 2003 requires all licensing authorities to prepare and publish a Statement of Licensing Policy to outline the general approach of the licensing authority when making licensing decisions under said Act, which lasts for a maximum of five years and can be reviewed and revised by the authority at any time.
- 3.5 **Risk Management:** None
- 3.6 **Property:** None
- 3.7 **Other:** None

4. Other options considered

- 4.1 There are no alternative options, as the review of both documents is a legal requirement as outlined above.

Executive Summary

In this section please provide any necessary background information that explains why you are asking for this decision to be made and a summary of any key information that is essential for Members to enable them to make a fully informed decision i.e. background, proposals, options, key issues, conclusions. Please do not add any additional headings to this section.

5. Introduction / Background

- The current Statement of Licensing Policy was published in December 2013, so must be reviewed and republished by December 2018. Before determining the policy for any five year period, the licensing authority must consult the persons listed in section 5(3) of the Licensing Act 2003: the chief officer of police for the area, the fire and rescue authority for the area, persons or bodies representative of licence holders, and persons or bodies representative of businesses and residents in the area.
- The current Statement of Gambling Principles was published in December 2015, so must be reviewed and republished by December 2018. The Statement must be produced following consultation with those bodies and persons set out in subsection (3) of section 349 of the Gambling Act 2005. This includes the Chief Officer of Police, persons who represent the interests of persons carrying on gambling businesses in the area and persons who represent the interests of persons who are likely to be affected by the exercise of the authority's functions under the Gambling Act.
- In both cases, the views of all consultees should be given appropriate weight when the policy is determined. Beyond the statutory requirements, it is for the licensing authority to decide the full extent of its consultation. Whilst it is clearly good practice to consult widely, this may not always be necessary or appropriate. Details of proposed consultees can be found at Appendices C and D.

6. Proposal

- To seek authority to consult on amendments to the Council's Statement of Licensing Policy and Statement of Gambling Principles in accordance with the relevant legislation.

7. Conclusion

- The consultation exercises will be carried out and revised policy documents prepared for the relevant processes in October onwards.

8. Appendices

8.1 Appendix A – Equalities Impact Assessment

8.2 Appendix B – Supporting Information

8.3 Appendix C – List of Consultees – Review of Statement of Licensing Policy

8.4 Appendix D – List of Consultees – Review of Statement of Gambling Principles

Corporate Board’s recommendation:

*(add text)

To be completed after the Corporate Board meeting.

Appendix A

Equality Impact Assessment - Stage One

We need to ensure that our strategies, policies, functions and services, current and proposed have given due regard to equality and diversity as set out in the Public Sector Equality Duty (Section 149 of the Equality Act), which states:

- “(1) A public authority must, in the exercise of its functions, have due regard to the need to:***
- (a) eliminate discrimination, harassment, victimisation and any other conduct that is prohibited by or under this Act;***
 - (b) advance equality of opportunity between persons who share a relevant protected characteristic and persons who do not share it; This includes the need to:***
 - (i) remove or minimise disadvantages suffered by persons who share a relevant protected characteristic that are connected to that characteristic;***
 - (ii) take steps to meet the needs of persons who share a relevant protected characteristic that are different from the needs of persons who do not share it;***
 - (c) foster good relations between persons who share a relevant protected characteristic and persons who do not share it, with due regard, in particular, to the need to be aware that compliance with the duties in this section may involve treating some persons more favourably than others.***
- (2) The steps involved in meeting the needs of disabled persons that are different from the needs of persons who are not disabled include, in particular, steps to take account of disabled persons' disabilities.***
- (3) Compliance with the duties in this section may involve treating some persons more favourably than others.”***

The following list of questions may help to establish whether the decision is relevant to equality:

- Does the decision affect service users, employees or the wider community?
- (The relevance of a decision to equality depends not just on the number of those affected but on the significance of the impact on them)
- Is it likely to affect people with particular protected characteristics differently?
- Is it a major policy, or a major change to an existing policy, significantly affecting how functions are delivered?
- Will the decision have a significant impact on how other organisations operate in terms of equality?
- Does the decision relate to functions that engagement has identified as being important to people with particular protected characteristics?
- Does the decision relate to an area with known inequalities?
- Does the decision relate to any equality objectives that have been set by the council?

Please complete the following questions to determine whether a full Stage Two, Equality Impact Assessment is required.

What is the proposed decision that you are asking the Executive to make:	To seek authority to consult on amendments to the Council's Licensing and Gambling Policies in accordance with the relevant legislation.
Summary of relevant legislation:	<p>Section 349 of the Gambling Act 2005 requires all licensing authorities to prepare and publish a statement of the principles that they propose to apply in exercising their functions under said Act, which will last for a maximum of three years and can be reviewed and revised by the authority at any time.</p> <p>Section 5 of the Licensing Act 2003 requires all licensing authorities to prepare and publish a Statement of Licensing Policy to outline the general approach of the licensing authority when making licensing decisions under said Act, which lasts for a maximum of five years and can be reviewed and revised by the authority at any time.</p>
Does the proposed decision conflict with any of the Council's key strategy priorities?	No
Name of assessor:	Laura Driscoll
Date of assessment:	12 March 2018

Is this a:		Is this:	
Policy	Yes	New or proposed	No
Strategy	No	Already exists and is being reviewed	Yes
Function	No	Is changing	Yes
Service	No		

1 What are the main aims, objectives and intended outcomes of the proposed decision and who is likely to benefit from it?	
Aims:	To consult on revisions to licensing policies
Objectives:	Consultation exercise to be carried out
Outcomes:	Appropriate revisions to licensing policies
Benefits:	No specific benefit

2 Note which groups may be affected by the proposed decision. Consider how they may be affected, whether it is positively or negatively and what sources of information have been used to determine this.

(Please demonstrate consideration of all strands – Age, Disability, Gender Reassignment, Marriage and Civil Partnership, Pregnancy and Maternity, Race, Religion or Belief, Sex and Sexual Orientation.)

Group Affected	What might be the effect?	Information to support this
Age	No impact	
Disability	No impact	
Gender Reassignment	No impact	
Marriage and Civil Partnership	No impact	
Pregnancy and Maternity	No impact	
Race	No impact	
Religion or Belief	No impact	
Sex	No impact	
Sexual Orientation	No impact	
Further Comments relating to the item:		
No evident impact on any of the above		

3 Result

Are there any aspects of the proposed decision, including how it is delivered or accessed, that could contribute to inequality?

No

Please provide an explanation for your answer:

No evident impact on any different groups.

Will the proposed decision have an adverse impact upon the lives of people, including employees and service users?

No

Please provide an explanation for your answer:

The policies should ensure any relevant factors are taken into consideration when determining applications to ensure no negative impact.

If your answers to question 2 have identified potential adverse impacts and you have answered 'yes' to either of the sections at question 3, or you are unsure about the impact, then you should carry out a Stage Two Equality Impact Assessment.

If a Stage Two Equality Impact Assessment is required, before proceeding you should discuss the scope of the Assessment with service managers in your area.

You will also need to refer to the [Equality Impact Assessment guidance and Stage Two template](#).

4 Identify next steps as appropriate:	
Stage Two required	
Owner of Stage Two assessment:	
Timescale for Stage Two assessment:	

Name: Laura Driscoll

Date: 12 March 2018

Please now forward this completed form to Rachel Craggs, Principal Policy Officer (Equality and Diversity) (rachel.craggs@westberks.gov.uk), for publication on the WBC website.

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Licensing Policy Reviews – Supporting Information

1. Introduction/Background

- 1.1 The current Statement of Licensing Policy was published in December 2013, so must be reviewed and republished by December 2018. Before determining the policy for any five year period, the licensing authority must consult the persons listed in section 5(3) of the Licensing Act 2003: the chief officer of police for the area, the fire and rescue authority for the area, persons or bodies representative of licence holders, and persons or bodies representative of businesses and residents in the area.
- 1.2 The current Statement of Gambling Principles was published in December 2015, so must be reviewed and republished by December 2018. The Statement must be produced following consultation with those bodies and persons set out in subsection (3) of section 349 of the Gambling Act 2005. This includes the Chief Officer of Police, persons who represent the interests of persons carrying on gambling businesses in the area and persons who represent the interests of persons who are likely to be affected by the exercise of the authority's functions under the Gambling Act.
- 1.3 In both cases, the views of all consultees should be given appropriate weight when the policy is determined. Beyond the statutory requirements, it is for the licensing authority to decide the full extent of its consultation. Whilst it is clearly good practice to consult widely, this may not always be necessary or appropriate.

2. Supporting Information

- 2.1 Section 349 of the Gambling Act 2005 requires all licensing authorities to prepare and publish a statement of the principles that they propose to apply in exercising their functions under said Act, which will last for a maximum of three years and can be reviewed and revised by the authority at any time.
- 2.2 Section 5 of the Licensing Act 2003 requires all licensing authorities to prepare and publish a Statement of Licensing Policy to outline the general approach of the licensing authority when making licensing decisions under said Act, which lasts for a maximum of five years and can be reviewed and revised by the authority at any time.

3. Options for Consideration

- 3.1 There are no alternative options, as the review of both documents is a legal requirement as outlined above.

4. Proposals

- 4.1 To seek authority to consult on amendments to the Council's Statement of Licensing Policy and Statement of Gambling Principles in accordance with the relevant legislation.

- 4.2 Officers recommend that the attached annexes are used to commence consultation exercises to properly consider a review of the two licensing policy documents.

5. Conclusion

- 5.1 The consultation exercises will be carried out and revised policy documents prepared for the relevant processes in October onwards.

6. Consultation and Engagement

Please set out here those people/key stakeholders/organisations that have been consulted in the preparation of your report. .

- 6.1 Consultation is yet to be carried out, as this is the purpose of this report.
- 6.2 Proposed consultees can be found at Appendix C (Statement of Licensing Policy) and Appendix D (Statement of Gambling Principles). Neither list is exhaustive but is indicative of the types of bodies and agencies to be consulted.

Background Papers:

Existing Licensing Policy and Gambling Policy documents

Papers containing facts or material you have relied on to prepare your report. The public can access these background papers.

NOTE: The section below does not need to be completed if your report will not progress beyond Corporate or Operations Board.

Subject to Call-In:

Yes: ☐ No: ☐

If not subject to call-in please put a cross in the appropriate box by double-clicking on the box and selecting 'Checked':

The item is due to be referred to Council for final approval	<input checked="" type="checkbox"/>
Delays in implementation could have serious financial implications for the Council	<input checked="" type="checkbox"/>
Delays in implementation could compromise the Council's position	<input checked="" type="checkbox"/>
Considered or reviewed by Overview and Scrutiny Management Commission or associated Task Groups within preceding six months	<input type="checkbox"/>
Item is Urgent Key Decision	<input type="checkbox"/>
Report is to note only	<input type="checkbox"/>

Wards affected:

*(add text)

Please put a cross in the appropriate box(es) by double-clicking on the box and selecting 'Checked':

Strategic Aims and Priorities Supported:

The proposals will help achieve the following Council Strategy aim(s):

- ☐ **BEC – Better educated communities**
- ☐ **SLE – A stronger local economy**
- ☐ **P&S – Protect and support those who need it**
- ☒ **HQL – Maintain a high quality of life within our communities**
- ☐ **MEC – Become an even more effective Council**

The proposals contained in this report will help to achieve the following Council Strategy

priority(ies):

- ☐ **BEC1 – Improve educational attainment**
- ☐ **BEC2 – Close the educational attainment gap**
- ☐ **SLE1 – Enable the completion of more affordable housing**
- ☐ **SLE2 – Deliver or enable key infrastructure improvements in relation to roads, rail, flood prevention, regeneration and the digital economy**
- ☐ **P&S1 – Good at safeguarding children and vulnerable adults**
- ☒ **HQL1 – Support communities to do more to help themselves**
- ☐ **MEC1 – Become an even more effective Council**

The proposals contained in this report will help to achieve the above Council Strategy aims and priorities by *(add text)

Officer details:

Name: Laura Driscoll
Job Title: Principal Officer: Licensing Policy and Governance
Tel No: 01344 352517
E-mail Address: laura.driscoll@westberks.gov.uk

Appendix C

List of Consultees – Review of Statement of Licensing Policy

- Association of Convenience Stores
- Association of Licensed Multiple Retailers
- Bingo Association
- British Amusement Catering Trade Association
- British Institute of Inn Keeping
- British Beer and Pub Association
- Committee of Registered Club Associations
- Federation of Licensed Victuallers Associations
- Guild of Master Victuallers
- National Federation of Community Organisations
- Parish and Town Councils
- Premises licence / club premises certificate holders
- Pubwatch
- Responsible Authorities including Thames Valley Police, Royal Berkshire Fire and Rescue Service, Trading Standards, Environmental Health and Public Health
- Society of Licensed Victuallers

Appendix D

List of Consultees – Review of Statement of Gambling Principles

- Association of British Bookmakers
- Association of Licensed Multiple Retailers
- Bingo Association
- British Amusement Catering Trade Association
- British Institute of Inn Keeping
- British Beer and Pub Association
- Committee of Registered Club Associations
- Federation of Licensed Victuallers Associations
- Gamblers Anonymous
- Gambling Commission
- GAMCARE
- Guild of Master Victuallers
- HM Revenue and Customs
- Holders of existing gambling licences / permits
- National Association of Bookmakers
- National Federation of Community Organisations
- Parish and Town Councils
- Royal Berkshire Fire and Rescue
- Thames Valley Police / Community Safety Partnership
- West Berkshire Council Planning, Public Health, Environmental Health and Safeguarding Children Board

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Statement of Licensing Policy

Document Control

Document Ref:	Statement of Licensing Policy	Date Created:	TBC
Version:	1.1	Date Modified:	TBC
Revision due			
Author:	Licensing	Sign & Date:	
Owning Service	Public Protection and Culture		

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1. Definitions

- 1.1 The Council means West Berkshire District Council;
 - 1.1.1 The Licensing Authority means the Council acting as defined by PART 2, 3[1][a] of the Licensing Act 2003. For all official correspondence, the address of the Licensing Authority is The Head of Culture And Environmental Protection, Environmental Health & Licensing, Council Offices, Market Street, Newbury, Berkshire RG14 5LD
- 1.2 All correspondence to be marked for the attention of the Team Manager - Licensing.
- 1.3 The Act means the Licensing Act 2003.
- 1.4 Licensing Committee means the full committee or a Sub-Committee of not less than three members.
- 1.5 The term etc. is used to denote the whole range of consents relating to the Act, including licences, permits, variations, transfers, renewals, grant, temporary, provisional, club registration, premises and personal licences. DCMS means the central government Department of Culture, Media and Sport.
- 1.6 Zoning means to control licensing hours in a defined geographical area.
- 1.7 Child means a person under 18 years of age.
- 1.8 DCMS guidance means the current guidance, as amended, issued under section 182 of the Licensing Act 2003 by the Secretary of State for Culture, Media and Sport.
- 1.9 SIA means the Security Industry Authority.

2. Introduction

- 2.1 This Licensing Policy Statement addresses the requirements of section 5 of Part 2 of the Act. It sets out the Council's Licensing Policy and takes account of the DCMS and Home Office guidance.
- 2.2 This Policy is **not** intended to;
 - 2.2.1 repeat national legislation or national guidance. Rather, it will aim to clearly state the Policy of the Council, quoting or paraphrasing such sources only when considered appropriate for a full understanding of the text;
 - 2.2.2 set out detailed conditions which, where appropriate, may be published separately and in a form as may be prescribed by central government in the future;
 - 2.2.3 set out the detailed constitutional arrangements of the Council in relation to licensing matters such as the Licensing Committee make up;

- 2.2.4 set out the Council's Policy about licensing matters outside the remit of the Licensing Act 2003, for instance matters covering the licensing of taxis, street traders etc.
- 2.2.5 The Policy relates to all those licensing activities identified as falling within the provisions of the Act, namely:-
- 2.2.6 Retail sale of alcohol.
- 2.2.7 Supply of alcohol to club members.
- 2.2.8 Provision of 'Regulated Entertainment' – to the public, to club members or with a view to profit.
- 2.2.9 A performance of a play.
- 2.2.10 An exhibition of a film.
- 2.2.11 An indoor sporting event.
- 2.2.12 Boxing or wrestling entertainment.
- 2.2.13 A performance of live music.
- 2.2.14 Any playing of recorded music.
- 2.2.15 A performance of dance.
- 2.2.16 Provision of facilities for making music.
- 2.2.17 Provision of facilities for dancing.
- 2.2.18 The supply of hot food and/or drink from any premises between 23:00 and 05:00 hours.
- 2.2.19 Live unamplified music taking place between 08.00 hours and 23.00 hours and live amplified music taking place between 08.00 hours and 23.00 hours before audiences of no more than 200 people on premises authorised to supply alcohol on the premises or in workplaces is not regulated entertainment by virtue of amendments made to the Licensing Act 2003 by the Live Music Act 2012.
- 2.3 The scope of the Policy covers new licence and permit applications, renewals, transfers and variation of conditions for existing and where applicable, temporary licences. These licensing activities include Personal Licences, Licensed Premises, Qualifying Clubs and Temporary Events.
- 2.4 The Licensing Authority recognises its duty under the Act to carry out its functions with a view to promoting the four Licensing Objectives, and all decisions will be made solely based on the four objectives. These are:-
 - 2.4.1 the prevention of crime and disorder;
 - 2.4.2 public safety;

2.4.3 the prevention of public nuisance;

2.4.4 the protection of children from harm.

2.5 The Licensing Authority recognises the Act is not the primary mechanism for the general control of anti-social behaviour and nuisance by individuals once they are away from the premises and, therefore, beyond the control of the individual, club or business holding the licence, certificate or authorisation concerned. Nevertheless, it is a key aspect of such control and the Licensing Authority recognises that licensing law will always be part of a holistic approach to the management of the evening and night time economy in its area.

2.6 The Licensing Authority would not wish to see the liberalising advantages of the Act negated by the development of anti-social behaviour regularly associated with the excessive consumption of alcohol in some major conurbations. Accordingly, the Licensing Authority will pay particular attention when determining licences etc, to the Operating Schedules submitted by applicants. In so doing, the Licensing Authority will seek assurance that measures are in place to further the promotion of the four statutory objectives of the Act. In this respect, the Council recognises its responsibilities under , appropriate guidance and such legislation as is applicable at the time and will co-operate closely with the Police, and other agencies making up the West Berkshire Safer Communities Partnership, and regularly monitor reports on crime and disorder.

2.7 The Licensing Authority recognises the contribution made by the leisure and entertainment industry to the economy and vibrancy of West Berkshire. The Licensing Authority is also aware of the negative impacts of noise, nuisance, light pollution, noxious smells and crime and disorder which poorly regulated licensed premises may have on the safety and amenity of residents and local business. The Licensing Authority recognises the obligations placed upon it by the Race Relations Act 1976 (RRA), as amended. A significant aspect of the RRA is the duty to have regard to the need to eliminate unlawful discrimination and to promote equality of opportunity and good relations between persons of different racial groups. The Licensing Authority will continue to meet its responsibilities in this respect and continue to be mindful of the RRA in discharging its licensing duties.

2.8 The Licensing Authority recognises the obligations placed upon it by the Disability Discrimination Act 1996 and will strive to ensure that it meets its responsibilities in all respects whilst discharging its functions at licensed premises.

2.9 The Licensing Authority has further considered other aspects of equality such as age, gender, religion or belief and sexual orientation and will strive to ensure that decisions taken by the Council will not openly, or covertly, discriminate against such groups or individuals.

3. **Consultation on this Policy**

3.1 The Council will review, and after consultation, re-publish its Licensing Policy at least once every five years. Whilst acknowledging this responsibility, the Council reserves the right to revise the Policy at more frequent intervals, should this be deemed appropriate.

- 3.2 Before publishing any revised Policy Statement, or any periodic review, the Council will consult with the following:-
- 3.2.1 the Chief Officer of Police responsible for the West Berkshire area;
 - 3.2.2 the Fire Authority;
 - 3.2.3 representatives of licence holders of the various types including Premise Licences, Club Premises Certificates and Personal Licences
 - 3.2.4 local businesses and their representatives;
 - 3.2.5 local residents and their representatives;
 - 3.2.6 the West Berkshire Safety Advisory Group (SAG);
 - 3.2.7 the Local Safeguarding Children Board/ Area Child Protection Committee;
 - 3.2.8 the West Berkshire Children and Young People Strategic Partnership;
 - 3.2.9 the West Berkshire Safer Communities Partnership; and
 - 3.2.10 other groups or individuals the Council feels appropriate. The Council is aware that consultation which goes beyond the statutory minimum laid down by the Act will have to be funded from Council resources rather than licence fees.
- 3.3 Accordingly, the Council reserves the right to limit its consultations. The Council will give careful consideration and appropriate weight to the views of all those consulted before the publication of any revised policy. In particular the Council will seek the views of local Licensed Victuallers, Pub Watch schemes and representatives of local licence holders. The Council recognises the need to consult as widely as possible but also recognises the need to balance the costs of so doing.
4. **The Licensing Process**
- 4.1 The powers of the Licensing Authority under the Act will be carried out via the Council's Licensing Committee, by a Sub-Committee of the Licensing Committee or by one or more Officers acting under delegated authority. In the interests of speed, efficiency and cost-effectiveness for all parties involved in the licensing process, the Council has adopted the scheme of delegation shown at Annex A to process applications received under the Act for personal and premises licences, club premises registration and temporary event notices. This form of delegation is without prejudice to referring an application to a Sub-Committee or the Licensing Committee if it is considered appropriate in particular cases.
- 4.2 When making licensing decisions and imposing licensing conditions, the Licensing Authority will concentrate on matters within the control of the licence holder and other granted relevant authorisations. Generally the Licensing Authority will be concerned only with the premises in question and its surrounding area. The Licensing Authority will focus on the direct impact which the licensed premises, and its licensed activities, could have on members of the public living,

working or engaged in normal activities in the area concerned. In determining applications for licences etc, the Licensing Authority will expect applicants to address, in their Operating Schedule, the measures they propose to take to prevent anti-social behaviour and crime and disorder, to protect the safety of the public. Where relevant, it should also include any impact on children of licensable activities whether those children are on, or in the area of, their premises.

4.3 The Licensing Authority will expect individual applicants to address the Licensing Objectives in their Operating Schedule having regard to the type of premises and the licensable activities to be provided.

4.4 The Licensing Authority recommends that the Operating Schedule address detailed activities, depending on the nature of the event and the premises concerned. Typical examples could include references to:

4.4.1 procedures at closing time between the last sales of alcohol and the closure of the premises;

4.4.2 the drugs policy, although not within the scope of licensable activity;

4.4.3 the emergency closure and evacuation procedures in the event of violence, power failure or similar occurrences;

4.4.4 special drinks promotions;

4.4.5 the use of glasses and open bottles and;

4.4.6 where drinking would be permitted, for example on pavement areas or on garden terraces;

4.4.7 the use of licensed door staff to control entry at specific times and for specific functions and where appropriate, the use of 'search' facilities to prevent the entry of both illegal substances and weapons into the licensed premises.

4.4.8 training to be given to staff in crime prevention measures and issues such as the prevention of excessive alcohol consumption and the protection of children;

4.4.9 the adoption by licensed premises of, and adherence to, best practice guidance given in the National Pubwatch Good Practice Guide and the Safer Clubbing Guide published by the Home Office;

4.4.10 participation in Pubwatch, Best Bar None and the alcohol Action Group or other appropriate schemes;

4.4.11 the presence, or otherwise, of transport facilities to ensure that patrons can leave the premises safely and without undue delay;

4.4.12 the use of CCTV and security lighting as measures to prevent violence and disorder.

- 4.4.11 adherence to the principles and practice defined in the Event Safety Guide, the Guide to Safety at Sports Grounds and other best practice advice where public safety could be compromised in the context of Regulated Entertainment;
- 4.4.12 the prevention of disturbance to neighbouring residents by patrons arriving at, or leaving, licensed premises through noise or light pollution;
- 4.4.13 the prevention of litter deposited by customers in the surrounding area of the licensed premises;
- 4.5 The extent to which applicants take these various strategies into account will largely depend on the nature and scale of the proposal. However, local crime prevention strategies will usually be important for most undertakings, as will the dispersal of people from licensed premises with later trading hours.
- 4.6 The Act requires the Designated Premises Supervisor to be named on the Premises Licence. Sufficient details are to be supplied to enable this person to be contacted, if required.
- 4.7 In determining licensing matters the Licensing Authority will;
 - 4.7.1 consider only pertinent licensing factors as set out in law and in approved guidance;
 - 4.7.2 act without favour when considering matters linked directly or indirectly to the Council, for instance when dealing with a licence application for one of its own properties;
 - 4.7.3 act in accordance with the principles of natural justice;
 - 4.7.4 only impose conditions on a licence when a relevant representation has been made and conditions are appropriate in the particular circumstances of an individual premises and will not duplicate other statutory requirements.
- 4.8 The Council recognises its licensing responsibilities under the Licensing Act 2003 and in particular will provide;
 - 4.8.1 appropriate levels of resources including personnel, systems (including computer systems), and support;
 - 4.8.2 appropriate training for Elected Members, appropriate facilities at licensing hearings for applicants, the public and witnesses;
 - 4.8.3 hearings at times convenient to applicants and witnesses, as far as reasonably practicable;
 - 4.8.4 guidance and assistance to licence applicants as far as reasonably practicable;
 - 4.8.5 guidance to organisers and audiences in particular cases, or for particular types of events;

- 4.8.6 an appropriate system to receive related complaints and service requests;
- 4.8.7 elected members and officers who have regard to appropriate Codes of Conduct and Declaration of Interests in dealing with licensing applications;
- 4.9 The Council recognises there are personnel and financial implications linked to discharging its duties under the Act. Where the fees are unlikely to cover even the basic costs of administering licensing, the Council will need to consider carefully the advisability of undertaking any licensing service which exceeds its statutory duty.
- 4.10 The Licensing Authority will, as far as reasonably practicable, check all applications and similar documents as they are received. In the case of those applications not properly made, the Licensing Authority may return the application package by second class mail, to the sender, with an appropriate explanation. The Licensing Authority will also inform the applicant that any timescale applicable in the circumstances will only start when a properly made application is received.
 - 4.10.1 If the applicant then returns the application package correctly made, any timescales will begin then, in accordance with the Regulations and the application will not be prioritised as if it had been received at the earlier time.
 - 4.10.2 A properly made application means an application package complete with all necessary forms, fully completed, dated and signed, all necessary enclosures and any appropriate fee.
 - 4.10.3 Applications, notices and representations can be transmitted by electronic means generally in accordance with the Provision of Services Regulations 2009.
 - 4.10.4 The Licensing Authority will inform Parish Councils, Town Councils and Elected Members of valid applications received within their areas of jurisdiction.
 - 4.10.5 Generally applications etc received by the Licensing Authority will be processed in the order received. However, the Licensing Authority reserves the right, subject to statutory time scales, to process more urgent and correctly made applications in preference to those of a non-urgent nature, earlier.
- 4.11 The quasi-judicial nature of the licensing process is such that elected members and officers of the Licensing Authority can offer only limited assistance at hearings. However, all applications and representations will be dealt with in an equal and considerate way. It is important therefore that those giving evidence either as applicants or objectors:
 - 4.11.1 consider taking legal or other professional advice;
 - 4.11.2 consider seeking the advice of the Licensing Authority or statutory bodies listed in annex B well before the hearing;

- 4.11.3 consult any advisory material produced by the Council or other informed sources and;
- 4.11.4 prepare thoroughly including acquiring a knowledge of any appropriate procedural matters, having all notes and evidence etc to hand and having sufficient copies of documents for all parties that might legitimately require them.

5. Temporary Event Notices

- 5.1 Where events are taking place which consist of either the sale of alcohol or the provision of Regulated Entertainment and there are to be less than 499 attendees at any one time, a Temporary Event Notice (TEN) must be served on the Licensing Authority, Environmental Health and the Police. For events which have 499 attendees or more, a Premises Licence will be required.
- 5.2 The Licensing Authority advises organisers of Temporary Events to submit their Notice as soon as reasonably possible in order for the Police and Environmental Health to consider the event and for the Licensing Authority to check that the limitations set down in part 5 of the Act are being observed. The Licensing Authority recommends that at least two calendar months notification of an event is given.
- 5.3 Although a standard notice is given no later than 10 working days before the event; and a late notice is given not before 9 and not later than 5 working days before the event, this may be insufficient time for the Police and / or Environmental Health to consider the effects of the Notice. Notification two calendar months prior to the event will enable the Police and Environmental Health to work with the organiser, should the Police and/ or Environmental Health have concerns regarding the event undermining any of the licensing objectives.
- 5.4 Working days are any day other than a Saturday, a Sunday, Christmas Day, Good Friday or a day which is a Bank Holiday under the Banking and Financial Dealings Act 1971 in England and Wales. Ten Working Days notice means ten working days exclusive of the day on which the event is to start.
- 5.5 Where reasonable notification is given, the Council will provide local advice about proper respect for the concerns of local residents; of other legislative requirements regarding health and safety, noise pollution and the building of temporary structures; of other appropriate permissions, for example, with regard to road closures or the use of pyrotechnics in public places; with regard to local bylaws; and the need to prevent anti-social behaviour by those attending.
- 5.6 Event organisers are strongly advised to submit their Notice on a weekday and before 1200hrs on a Friday in order to have a quick response. Notices should be submitted to the Council Offices as in Annex B.
- 5.7 Notification to the Police as referred to in Annex B.
- 5.8 Notification to the Team Manager - Environmental Quality in Annex B.
- 5.9 It is strongly recommended, although not mandatory, that the Fire Authority is consulted, as in Annex B.

- 5.10 In the event of a representation being made by the Police and /or Environmental Health, a hearing will be held and a decision given not later than 24 hours before the event is due to start.

6. Partnerships and Policy Integration

- 6.1 The Council recognises there is often a mistaken perception that West Berkshire Council is the primary agency responsible for solving anti-social behaviour problems. The Council recognises the licensing function is only one means of securing the delivery of the licensing objectives. It should not, therefore, be seen as a panacea for all anti social or criminal problems within the community. The Council will continue to work in partnership with its neighbouring authorities, the Police, local businesses and local people, towards the promotion of the Licensing Objectives. Most notably the Council recognises and acknowledges its duties under section 17 of the Crime and Disorder Act 1988.

- 6.2 To achieve the Licensing Objectives, the Council will engage a full range of measures, including crime and disorder policies and powers, as applicable, in the circumstances. The Licensing Authority will seek to enter into partnership arrangements, working closely with the Police, the Fire Authority, local businesses, the Local Safeguarding Children Board/Area Child Protection Committee and the West Berkshire Safer Communities Partnership, community representatives and local people in meeting these objectives. The Council will seek, wherever possible, to integrate its various strategies including those addressing crime prevention, planning, transport, tourism, culture and race equality.

- 6.3 The Council recognises that as well as the licensing function there are a number of other mechanisms for addressing issues of unruly behaviour which occur away from licensed premises. These include –

- 6.3.1 planning controls;
- 6.3.2 ongoing measures to provide a safer and cleaner environment in partnership with local businesses and others;
- 6.3.3 powers to designate parts of the district as places where alcohol may not be consumed publicly;
- 6.3.4 existing Police powers of enforcement including issuing fixed penalty notices;
- 6.3.5 enforcement action against those selling alcohol to people who are already drunk;
- 6.3.6 confiscation of alcohol from adults and children in designated areas;
- 6.3.7 police powers of closure for up to 24 hours;
- 6.3.8 the power of Police, Responsible Authorities, any other persons such as local businesses or residents and elected members of council to seek a review of the licence.

- 6.4 The Council will endeavour to integrate its various strategies, and use the available legal powers, to achieve the Licensing Objectives.
- 6.5 The Council recognises that a major contributor to the prevention of crime and anti-social behaviour is getting customers home at night when premises close. It will be a role of this Policy to ensure all transport providers are aware of this and that the Licensing Authority acknowledges their efforts. The Licensing Authority will, therefore, make arrangements to monitor the effectiveness of this Policy in relation to the Council's transport strategies. It will periodically liaise with major transport providers in the district, and monitor the dispersal of people from Town Centres, particularly at night.
- 6.6 The Council recognises that on occasions there will be conflicts between its other strategies.
- 6.7 The Council will endeavour to make arrangements for its Licensing Committee to annually receive reports on:
- 6.7.1 the work of the Local Safeguarding Children Board in relation to the Act and the protection of children from harm.
 - 6.7.2 public health aspects relating to the impact of alcohol consumption;
 - 6.7.3 crime and disorder matters.

7. Each Application to be Determined on its Merits

- 7.1 Whilst this Policy sets out the general approach for making licensing decisions, the Licensing Authority recognises that in determining individual cases, decisions must be consistent with both the provisions of the Act, its guidance and this Policy. In particular, this Policy does not override the right of any individual to make representations on an application, nor does it prevent anyone seeking a review of a licence or certificate, where that provision has been made in the Act. In determining a licence application, the overriding principle adopted by the Council will be that each application will be determined on its merits.
- 7.2 The Licensing Authority will not operate a quota of any kind, which could pre-determine an application, nor will it seek to impose general limitations on trading hours in particular areas. Instead, regard will be given to the individual characteristics of the premises concerned. The Licensing Authority recognises that pubs, night-clubs, restaurants, hotels, theatres, and other clubs all sell alcohol, serve food and provide entertainment, but with contrasting styles and characteristics. In considering applications, proper regard will be had to these differences and the impact they are likely to have on the local community and the licensing objectives.
- 7.3 The Licensing Authority recognises that in relation to applications for licences etc, its discretion is engaged only where relevant representations have been received. Therefore, all references in this policy to the Licensing Authority's approach to decision making concern cases where its discretion has been so engaged.

8. **Licence Conditions**

- 8.1 The Licensing Authority recognises its legal obligation whereby if no Relevant Representations are received; they must grant the licence/permit etc in the terms sought, with no additional conditions.
- 8.2 The Licensing Authority may;
- 8.2.1 publish, periodically review and update a pool of appropriate licence conditions;
 - 8.2.2 prepare and publish guidance to licence applicants about the licensing scheme.
- 8.3 However, any conditions attached to any particular licence will:
- 8.3.1 always be tailored to the style and characteristics of the premises or event in question;
 - 8.3.2 only be applied when needed for the prevailing circumstances and;
 - 8.3.3 will only be applied when appropriate to help achieve the Licensing Objectives.
- 8.4 The Licensing Authority recognises that a pool of conditions could lead an applicant to believe only the listed conditions, and no others, will be applied. Care will be needed to ensure this danger is minimised. Applicants are reminded, however, that self-imposed conditions detailed in an Operating Schedule will, on the grant of a licence, form part of the final licence conditions, providing no Relevant Representations are received from any other persons.
- 8.5 Licence conditions will not be imposed where other regulatory regimes provide sufficient protection to the public, for example, Health and Safety at Work and Fire Safety Legislation.
- 8.6 The Licensing Authority may impose conditions other than those consistent to the Operating Schedule or attached to the existing licence. However, they can do so only when Relevant Representations are made. For example, conditions may be attached requiring the provision or control of:
- 8.6.1 CCTV;
 - 8.6.2 door staff
 - 8.6.3 toughened glass or polycarbonate drinking glasses and restrictions on open bottles and glasses being removed from the premises;
 - 8.6.4 drinks promotions;
 - 8.6.5 'proof of age' measures;
 - 8.6.6 other measures intended to address the Licensing Objectives such as the playing of ambient music towards the end of an evening to reduce the possibility of violence and the handing out of sweets as people leave premises to reduce public nuisance by noise.

- 8.7 The Licensing Authority wishes to encourage a wide range of entertainment activities and promote live music, dancing and theatre for the wider cultural benefit of the community. When attaching conditions, the Licensing Authority will try to avoid imposing substantial indirect costs which might deter live music, dancing or theatre.
- 8.8 The Licensing Authority recognises the wider community and cultural benefits which can accrue from the production of live music, dancing and theatre productions, including those for children. However, the local disturbance to neighbourhoods, particularly of open air events, will be carefully balanced against the community gain. Each event will therefore be considered on its merits.
- 8.9 The Council will seek to monitor the impact of its Licensing Policy on live music and dancing performances in the district. If the Council becomes aware that its Licensing Policy is having an adverse effect on such performances in its area, it will endeavour to consult with appropriate bodies including representatives of performers and the National Association of Arts and may, where appropriate, review its policy.
- 8.10 The Licensing Authority recognises the important role played by premises not being overcrowded in helping to achieve the Licensing Objectives. The Licensing Authority will normally:
- 8.10.1 advise applicants for premises licences or applicants for club premises certificates to consider undertaking an appropriate assessment to determine the safe occupancy capacity and, where appropriate, to incorporate this in the operating schedule;
 - 8.10.2 consider any proposals by the applicant for the capacity of the premises, and, if it considers it appropriate, will consider representations made by other bodies as listed in Annex B. The Licensing Authority will normally consider whether a condition relating to capacity is appropriate in order to promote either or both of the Public Safety and Crime Prevention Objectives. The Licensing Authority may decide to impose a capacity figure which differs from that proposed by the applicant;
 - 8.10.3 seek to impose appropriate conditions, including the prevention of overcrowding, in premises used by children;
 - 8.10.4 work closely with the Fire Service to ensure previously imposed limits noted on earlier fire certification, where in place, are still relevant and appropriate in the prevailing circumstances;
 - 8.10.5 place considerable weight on the use of “during performance” inspections to determine safe numbers and ensure compliance with licence conditions,
- 8.11 In addressing the Licensing Objectives, the Licensing Authority recognises the significant role played by the combination of drugs and alcohol at some licensable events whilst acknowledging that drug abuse is not specifically addressed as a licensing objective. Accordingly the Licensing Authority may, in circumstances where representations have been made, impose licence

conditions aimed at addressing these problems. In so doing, the Licensing Authority will consider:

- 8.11.1 Appropriate guidance on the subject, for instance “Safer Clubbing” and other appropriate publications;
- 8.11.2 the availability of free water;
- 8.11.3 the provision of designated chill out areas;
- 8.11.4 staff training in first aid to an appropriate standard and
- 8.11.5 the provision of SIA licensed door supervisors.

9. Licensing Hours

- 9.1 The Licensing Authority recognises that fixed and artificially early closing times in certain areas can lead to peaks of disorder and disturbance on the streets when large numbers of people tend to leave licensed premises at the same time. Accordingly, the Licensing Authority will consider longer licensing hours in the interests of avoiding the concentration of such disturbance, whilst also ensuring that nuisance is minimised to local residents. Whilst the Licensing Authority considers that longer licensing hours may be an important factor in reducing friction at late night food outlets, taxi ranks and other sources of transport, and that such extended opening hours are the prerogative of licence holders, they could be subject to challenge by local residents.
- 9.2 Shops, stores and supermarkets will generally be permitted to sell alcohol during the hours they intend to open. However, in the case of individual shops which are known to be a focus of disorder and disturbance, the Licensing Authority may limit the licensing hours following representations or review.
- 9.3 It is not the Licensing Authority’s intention to introduce zoning for the purposes of drinking hours. Experience in other areas shows that this can lead to the significant movement of people across boundaries in search of premises opening later and puts greater pressure on town centres than is appropriate.
- 9.4 In general, the Licensing Authority will deal with the issue of licensing hours on the individual merits of each application. However, when issuing a licence, stricter noise control conditions are likely to be imposed on premises in residential areas.
- 9.5 The Licensing Authority will encourage applicants to anticipate exceptional conditions or events which could require special or extended trading times and to incorporate these in their Operating Schedule. Equally the Licensing Authority recognises the rights of Personal Licence holders to hold up to fifty Temporary Events each year, subject to the correct notification procedure and the statutory limitations of no more than twelve such events on any particular premises. Such notifications apart, the Licensing Authority is also aware of the Secretary of State’s power to declare national, general extensions of licensing hours by order, for special events.

9.6 Generally, the Licensing Authority will consider proposed licensing hours submitted in applications for the sale and supply of alcohol and adjust these appropriately, according to Relevant Representations.

9.7 When setting licensing times, the Licensing Authority can only consider those factors directly relevant to the Act. Consequently all other factors must be set aside. The Licensing Authority may not be influenced by other legislation including those which may be contrary to contractual law. Most notably this will include controls in relation to permitted working hours for employees. In practice, therefore, the Licensing Authority cannot refuse to grant opening hours solely because this would breach a worker's employment rights. Similar examples may arise in other areas outside employment law.

10. **Cumulative Impact and Public Nuisance**

10.1 The Licensing Authority recognises that from time to time it may receive representations on the grounds of cumulative impact of a number of licensed premises on the Licensing Objectives. In these circumstances the Licensing Authority will expect the objector to provide, in full, his or her own evidence for consideration.

10.2 The Licensing Authority recognises it may receive representations from either a Responsible Authority, or any other person, both defined by the Act, that the cumulative impact of new licences is leading to an area becoming a focal point for large groups of people to gather, and so creating exceptional problems of disorder and nuisance over and above the impact from the individual premises. On receiving such representations, or on its own volition, the Licensing Authority may consider the cumulative effect that such a proliferation of premises in one area may be having.

10.3 In determining whether to adopt a cumulative impact policy for a particular area the Licensing Authority may, among other things;

10.3.1 gather evidence of serious and chronic concern from a Responsible Authority or local residents about nuisance and disorder;

10.3.2 identify the area from which problems are arising and the boundaries of that area;

10.3.3 make an assessment of the causes and;

10.3.4 adopt a special policy about future applications for premises within that area. Such a policy would be one of refusing licences whenever it receives Relevant Representations about the cumulative impact on the Licensing Objectives these must be from Responsible Authorities and/or any other persons. The Licensing Authority may conclude after hearing those representations, that a refusal may be appropriate.

10.4 If the Council establishes a special policy for a particular area, it will review that policy regularly and at least once every five years. It will not use such a policy to:

10.4.1 revoke an individual premises licence that is already in force;

- 10.4.2 vary a licence except when directly relevant to the policy and when appropriate for the promotion of the Licensing Objectives or;
- 10.4.3 impose a terminal hour as a matter of policy, although a terminal hour may be imposed if such is appropriate in order to promote the Licensing Objectives.

11. **Children and Licensed Premises**

- 11.1 The Licensing Authority recognises the wide variety of premises for which licences may be sought. These will include theatres, cinemas, restaurants, pubs, night-clubs, cafes, takeaways, community halls and schools. Although the Act sets out various controls restricting admission to children, nothing in the Act makes it a requirement that children must, or must not be admitted to licensed premises. Beyond the statutory controls, the Licensing Authority will not normally further control entry to licensed premises by children unless it considers this to be appropriate to protect minors from physical, moral or psychological harm. Where a licence includes children on the premises, then the suitability and safety of the premises will be considered.
- 11.2 Licence holders are not to provide alcohol to those under 18 years of age, except as provided for by the Act. The Licensing Authority expects applicants for a licence to be able to demonstrate that satisfactory arrangements are in place to prevent such sales and recommended the following documents should be used as proof of age:
 - 11.2.1 Passport;
 - 11.2.2 Photo card Driving Licence issued in the European Union;
 - 11.2.3 Proof of Age Card Scheme e.g. Portman Group Card or Connexions Card;
 - 11.2.4 Citizen Card issued on behalf of the Home Office;
 - 11.2.5 Identity Card issued to HM or NATO Forces bearing a photograph and date of birth of the holder.
- 11.3 When appropriate representations have been made, the Licensing Authority may, where it deems appropriate, impose conditions to restrict entry to children in premises for example;
 - 11.3.1 where entertainment or services of an adult or sexual nature are commonly provided;
 - 11.3.2 where there have been convictions or the issue of fixed penalty notices of members of the current staff at the premises for serving alcohol to minors or with a reputation for underage drinking;
 - 11.3.3 with a known association with drug taking or dealing;
 - 11.3.4 where there is a strong element of gambling on the premises. This would normally not include the presence of a small number of cash prize machines;

- 11.3.5 where there have been convictions of any member of staff leading to registration under the Protection of Children Act.
- 11.4 When the Licensing Authority considers restricting access by those under 18 this may mean a broad prohibition. However, depending on the circumstances, the Licensing Authority may include one or more of the following controls:
- 11.4.1 specific times when children can or cannot be present;
 - 11.4.2 age limits for certain types of activities on the premises;
 - 11.4.3 age limits for those under 18;
 - 11.4.4 requirements for suitably qualified accompanying adults;
 - 11.4.5 such other conditions or restrictions as may be appropriate to achieve the Licensing Objectives.
- 11.5 The Licensing Authority recognises that licensees may wish to control entry to children, but regards this trade decision. The Licensing Authority will not, therefore, impose conditions requiring the admission of children to licensed premises. So therefore, if a licence holder decides to prohibit entry by children and the Licensing Authority has chosen not to restrict entry, then the Licensing Authority will respect that decision. Such a decision by a licence holder might give rise to human rights issues beyond the remit of the Council acting under its licensing powers.
- 11.6 Only where representations are made can the Licensing Authority consider imposing conditions to control the safe access and egress of children and generally ensure their safety. However, applicants are advised to consider child supervision/control in their Operating Schedule. In imposing such conditions referred to above, the Licensing Authority may draw up appropriate conditions for the number of supervising adults required.
12. **Film Exhibitions**
- 12.1 Where the exhibition of films is permitted, the Licensing Authority will require age restrictions to be complied with; Mandatory conditions will be attached to all Licences in this respect in accordance with the British Board of Film Classifications recommendations for the film in question.
13. **The Planning System**
- 13.1 The Licensing Committee will act independently of the Planning system and licence applications will be considered solely against licensing criteria. The Council will at all times separate its licensing and planning roles.
- 13.2 In considering a licence application, the Licensing Authority cannot generally take account of “need”.
- 13.3 The Council’s Licensing Committee will periodically draw to the attention of the Council’s Planning Committee, the situation regarding licensed premises and the general impact of alcohol related crime and disorder.

14. Enforcement

- 14.1 Where enforcement action is appropriate, the Council will act in accordance with its published Enforcement Policy, which in turn is based on the principles of the Enforcement Concordat.
- 14.2 The Licensing Authority will establish protocols with the local Police and Fire Service on enforcement issues to provide an efficient deployment of those who are commonly engaged in enforcing licensing law and the inspection of licensed premises. In particular, these protocols will provide for the targeting of agreed problem and high risk premises requiring greater attention, while providing a lighter touch in respect of low risk premises which are well run.
- 14.3 The Licensing Authority believes that to maintain trade, public and partners' confidence in the licensing regime, it needs to establish an active inspection and regulatory service. The Licensing Authority will therefore, in combination with the Police and other partnership organisations, actively engage in seeking to:
- 14.3.1 advise licence holders and potential licence holders to prevent problems arising;
 - 14.3.2 inspect premises both before and during their use under the Act;
 - 14.3.3 when appropriate, institute legal actions including issuing formal cautions and taking prosecutions.
- 14.4 The Licensing Authority will actively enforce, alone or in partnership, all breaches of the licence conditions under the Act. The Council will, when deciding on best use of limited resources place weight on offences linked to:
- 14.4.1 Underage sales and;
 - 14.4.2 The sale of alcohol to persons already drunk.
- 14.5 The Licensing Authority reserves the right to introduce a penalty points or similar scheme intended to provide a cumulative score for one or more minor infringements of licence conditions. This may lead to the Licensing Authority instituting legal proceedings against the licence holder. This process is independent of the licence review by any other person or Responsible Authority. The introduction of any scheme will only supplement any decisions concerning enforcement or legal actions and will not replace other legal powers and duties.
- ## **15. Early Morning Restriction Orders (EMROs)**
- 15.1 The Licensing Act sets out powers conferred on Licensing Authorities to make early morning alcohol restriction orders. These powers are designed to help Licensing Authorities address specific problems caused by late night supply of alcohol in their areas allowing Licensing Authorities to restrict the sale of alcohol in the whole or a part of their areas between 24:00 midnight and 06:00 hours. Licensing Authorities may make an EMRO in relation to problem areas if they have evidence that the order is appropriate for the promotion of the licensing objectives. At the time of writing this policy the Licensing Authority has no plans to make an EMRO in any part of the West Berkshire Council area, however, the situation will be kept under review and should evidence emerge that suggests

that the sale of alcohol between 24:00 midnight and 06:00 hours is creating specific problems the Council will consider whether the introduction of an EMRO is appropriate. In considering the appropriateness of an EMRO the Licensing Authority will consider evidence from partners, including Responsible Authorities and local Community Safety Partnerships or other sources. If a proposal to implement an EMRO arises in the future the Licensing Authority will advertise and consult about its proposal in accordance with legislation and national guidance.

16 The Late Night Levy (LNL)

- 16.1 Late night levy powers will allow licensing authorities to raise a contribution from late opening alcohol retailers (24:00 midnight to 0:600 hours) towards policing the late night economy. This is a power that licensing authorities can choose whether to adopt for their areas. If adopted the powers must apply to the whole of the licensing authority's area. Income from the net levy is to be split between the Police and the Licensing Authority on the basis of a minimum 70% allocated to the Police and a maximum 30% allocated to the licensing authority. At the time of writing this policy the Licensing Authority has no plans to collect a LNL, however the situation will be kept under review and prior to making a decision to implement a LNL, the licensing authority would have discussions with the Police and Crime Commissioner (PCC) and local police to decide whether it is appropriate to introduce a LNL. If a proposal to implement a LNL arises in the future, the licensing authority will consult the PCC, the police, licence holders and others about its proposal.

Annex A – Delegation of Licensing Functions

Matter to be dealt with	Full Committee	Sub-Committee	Officers
Policy Decisions	All cases		
Application for personal licence		If a police objection	If no objection made
Application for premises licence/club premises certificate or provisional statement		If a relevant representation made	If no relevant representation made
Application to vary premises licence/club premises certificate		If a relevant representation made	If no relevant representation made
Application to vary designated premises supervisor		If a police objection	All other cases
Request to be removed as designated premises supervisor			All cases
Application for transfer of premises licence		If a police objection	All other cases
Applications for interim authorities		If a police objection	All other cases
Application to review premises licence/club premises certificate		All cases	
Decision on whether a complaint is irrelevant, frivolous, vexatious etc.			All cases
Decision to object when local authority is a consultee and not the relevant authority considering the application		All cases	
Determination of an objection to a temporary event notice		All cases	
Determination of minor variation application			All cases
Decision whether to consider other responsible authorities on minor variation application			All cases
Determination of application to vary premises licence at community premises to include alternative licence condition		If police objection	All other cases
Decision to make a representation as a licensing authority			All cases
Power to suspend a licence for non-payment of annual fees and associated actions			All cases

Annex B – Responsible Authority Contact List

The Licensing Authority	Team Manager Licensing, West Berkshire District Council, Council Offices, Market Street, Newbury, RG14 5LD licensing@westberks.gov.uk
The Chief Officer of Police	Licensing, Thames Valley Police, Headquarters (South), Kidlington, OX5 2NX licensing@thamesvalley.pnn.police.uk
The Fire Authority	Fire Safety Officer, Royal Berkshire Fire & Rescue Service, Hawthorn Road, Newbury, RG14 1LD NewburyFireSafety@rbfrs.co.uk
The Enforcing Authority for Health & Safety at Work Act 1974	Team Manager – Commercial, West Berkshire District Council, Council Offices, Market Street, Newbury, RG14 5LD EHadvice@westberks.gov.uk For all Council owned or operated premises and those others where the Health & Safety Executive is the Enforcing Authority - Health & Safety Executive, Priestly House, Priestly Road, Basingstoke, RG24 9NW
The Local Planning Authority Town and Country Planning Act 1990 (c.8)	Development Control Manager, West Berkshire District Council, Council Offices, Market Street, Newbury, Berkshire. RG14 5LD planapps@westberks.gov.uk
The Enforcing Authority for Pollution	Team Manager - Environmental Quality, West Berkshire District Council, Council Offices, Market Street, Newbury, RG14 5LD EHadvice@westberks.gov.uk
Trading Standards Office	Principal Trading Standards Officer, West Berkshire Council, Council Offices, Market Street, Newbury, RG14 5LD tsadvice@westberks.gov.uk
Public Health and Wellbeing	Public Health and Wellbeing, West Berkshire District Council, Council Offices, Market Street, Newbury, RG14 5LD Publichealthandwellbeing@westberks.gov.uk
Local Safeguarding Children Board	West Berkshire District Council, Council Offices, West Street House, West Street, Newbury, RG14 1BZ wblscb@westberks.gov.uk
Home Office - Immigration Enforcement	Alcohol Licensing Team, Lunar House, 40 Wellesley Road, Croydon CR9 2BY alcohol@homeoffice.gsi.gov.uk
In relation to a Vessel – A Navigation Authority British Waterways Board or The Secretary of State	British Waterways Board, Harbour House, West Quay, The Dock, Gloucester GL1 2LG, or Tourism Division, 3 rd Floor, 2-4 Cockspur Street, London SW1Y 5DH
The Environment Agency	Responsible Officer, Isis House, Wallingford, OX10 8BD enquiries@environment-agency.gov.uk



Delegated Officer's Decision

Reference	Service Grouping
	Environment – Public Protection Partnership

Subject:	Gambling Policy
Decision taken:	To approve that the draft policy goes for consideration
Reason for decision taken:	It is a legal requirement to consult on any draft policy prior to consideration by the Council
Other options considered:	None – see above
Decision taken by:	Sean Murphy
Scheme of Delegation Ref:	Inter Authority Agreement – 6 th January 2017 / 3 Scheme of Delegation
Job Title:	Public Protection Manager
Those consulted:	PPP Licensing Team Manager
Background papers:	Draft Consultation

I confirm that I have fully advised and have taken account of all the relevant facts in making this decision.

Date Decision Made	Date Decision will be Implemented (5 clear days)
4/5/18	n/a

Officer:	
Witnessed by:	
Date:	4/5/18

This decision is eligible to be 'called-in'. However, if the decision has not been 'called-in' by 5.00pm on , then it will be implemented.

If you have any queries regarding this decision, please contact:

Name: Laura Driscoll

Job Title: Principal Officer – Licensing Policy and Governance

Tel: 01344

Email:

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Statement of Gambling Principles

Document Control

Document Ref:	Statement of Gambling Principles	Date Created:	TBC
Version:	1.1	Date Modified:	TBC
Revision due			
Author:	Licensing	Sign & Date:	
Owning Service	Public Protection and Culture		



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West Berkshire District Council Statement of Policy on Gambling

Section 1 - Definitions

The **Council** means West Berkshire District Council;

The **Licensing Authority** or the **Authority** means the Council acting as defined by Section 2 of the Gambling Act 2005. For all official correspondence, the address of the Licensing Authority is: Licensing, Public Protection and Culture, Council Offices, Market Street, Newbury, RG14 5LD.

The **Act** means the Gambling Act 2005.

The **Licensing Committee** means the full committee or a Sub-Committee of not less than three members.

The **term etc.** is used to denote the whole range of consents relating to the Act, including premises licences, authorisations for the temporary use of premises, occasional use notices and five different sorts of permits for unlicensed family entertainment centres, prize gaming, gaming machines on alcohol-licensed premises and club gaming and club gaming machines, variations, transfers, and renewals.

GC means the Gambling Commission.

Child means an individual who is less than 16 years old.

A **Young Person** means an individual who is not a child but who is less than 18 years old.

The **Guidance** means the latest guidance issued under Section 25 of the Gambling Act 2005 by the Gambling Commission.

The **Policy** means the Council's Statement of Gambling Principles.

1. This Licensing Policy Statement addresses the requirements of section 1 of the Act. It sets out the Council's Licensing Policy and takes account of the Guidance. This Licensing Policy Statement will apply to the area of West Berkshire District Council.
2. The Council is a Unitary Authority and is predominantly rural with the Council area making up over half of the geographical County of Berkshire, covering an area of 272 square miles. The population is relatively young when compared across the UK, although this is made up of a significant proportion of people aged between 30 – 50 rather than significant numbers of people in their 20s. The District is perceived to be in an area of some affluence having 5 main areas of conurbation spread evenly across the Council's area of jurisdiction. Newbury Racecourse is situated in the centre of the largest town in the District and the rural areas are world renowned for their involvement in the training and stabling of race horses.
3. The Policy relates to all those licensing activities identified as falling within the provisions of the Act, namely:-
 - a) bingo premises;
 - b) betting premises, including tracks;
 - c) adult gaming centres;
 - d) family entertainment centres;
 - e) authorisations for the temporary use of premises;
 - f) occasional use premises;
 - g) prize gaming;
 - h) gaming machines on alcohol-licensed premises;
 - i) club gaming;
 - j) club gaming machines.
 - k) Casinos
4. The scope of the Policy covers new premises licences and other forms of permits.
5. The Licensing Authority recognises that in determining individual cases, decisions must be consistent with both the provisions of the Act, the Section 25 Guidance and this Policy. In particular, this Policy does not override the right of any interested party to make representations on an application where that provision has been made in the Act. In determining a licence application, the overriding principle adopted by the Council will be that each application will be determined on its merits.

6. The Licensing Authority recognises the obligations placed upon it by the Human Rights Act 1998 and in considering applications under the Act will have regard to:
- a) Article 1, Protocol 1 – peaceful enjoyment of possessions. A licence is considered a possession in law and people should not be deprived of their possessions except in the public interest;
 - b) Article 6 – right to a fair hearing;
 - c) Article 8 – respect for private and family life. In particular removal of restriction of a licence may affect a person's private life; and
 - d) Article 10 – right to freedom of expression.

Section 3 - Licensing Objectives

- 7.. The Licensing Authority recognises that its duty under the Act is to carry out its functions with a view to promoting the three Licensing Objectives, and all decisions will be made solely based on these. They are:-
- a) **preventing gambling from being a source of crime or disorder, being associated with crime or disorder or being used to support crime;**
 - b) **ensuring that gambling is conducted in a fair and open way; and**
 - c) **protecting children and other vulnerable persons from being harmed or exploited by gambling.**
8. The Licensing Authority recognises that in exercising its function under part 8 of the Act (Premises Licensing and Provisional Statements) it will aim to permit the use of premises for gambling in so far as it thinks it is:
- a) in accordance with any relevant code of practice under section 24;
 - b) in accordance with any relevant guidance issued by the GC under section 25;
 - c) reasonably consistent with the licensing objectives set out above; and in accordance with this licensing policy statement.

Section 4 - Demand for gaming premises

9. The Licensing Authority recognises that in deciding whether or not to grant a licence etc, unmet demand is not a criterion in considering an application for a premises licence under the Act. Each application will be considered upon its merits without regard to demand.

10. The Licensing Authority will consider applications for premises licences for casinos.

Section 5 - Consultation and Review

11. Before publishing this Policy Statement, or any subsequent revision, the Licensing Authority will consult with the following:-
 - a) the Chief Officer of Police responsible for the West Berkshire area;
 - b) one or more persons who appear to the Authority to represent the interests of persons carrying on gambling businesses in the Authority's area; and
 - c) one or more persons who appear to the Authority to represent the interests of persons who are likely to be affected by the exercise of the Authority's functions under this Act.
12. The Council will review, and after consultation, re-publish its Licensing Policy at least once every three years. Whilst acknowledging this responsibility, the Council reserves the right to revise the Policy at more frequent intervals, should this be deemed appropriate or necessary.
13. Whilst not a requirement the authority may consider adopting a Local Area Profile in line with developing a more local focused statement policy which will be a relevant matter when determining applications or reviewing existing licences.

The nature and creation of such a profile involves a process of drawing together and presenting information about the area and in particular areas of concern within the locality. Information will be required from a number of bodies, e.g. public health, mental health, social housing providers, community groups and other partner organisations for the production of such a profile.

Section 6 - The Licensing Process

14. The Council recognises its licensing responsibilities under the Gambling Act 2005 and in particular will provide:
 - a) appropriate levels of resources including personnel, systems (including computer systems), and support;
 - b) appropriate training for Elected Members, appropriate facilities at licensing hearings for applicants, the public and witnesses;
 - c) hearings at times convenient to applicants and witnesses, as far as reasonably practicable;
 - d) general guidance and assistance to licence applicants as far as reasonably practicable, however for specific advice the applicant may need to seek independent legal advice;

- e) an appropriate system to receive related complaints and service requests;
 - f) Elected Members and Officers who have regard to appropriate Codes of Conduct and Declaration of Interests in dealing with licensing applications.
15. The powers of the Licensing Authority under the Act will be carried out via the Council's Licensing Committee, by a Sub-Committee or by one or more Officers acting under delegated authority. In the interests of speed, efficiency and cost-effectiveness for all parties involved in the licensing process, the Council has adopted the scheme of delegation shown at Annex A to process applications received under the Act. This form of delegation is without prejudice to referring an application to a Sub-Committee or the Licensing Committee if it is considered appropriate in particular cases.
16. The Licensing Authority will expect applicants to address, in their applications, the measures they propose to take to meet the Licensing Objectives and to submit any information with their application that may be prescribed by the Secretary of State and/or the Licensing Authority.
17. When making licensing decisions and imposing licensing conditions, the Licensing Authority will concentrate on matters within the control of the licence holder. Generally the Licensing Authority will be concerned only with the premises in question and its vicinity. The Licensing Authority will focus on the direct impact which the licensed premises, and its licensed activities, could have on persons living sufficiently close to the premises to be likely to be affected by the authorised activities and on persons having business interests that might be affected by the authorised activities.
18. In determining applications for licences, permits, etc the Licensing Authority will:
- a) consider only pertinent factors as set out in law and in approved guidance;
 - b) act without favour when considering matters linked directly or indirectly to the Council, for instance when dealing with an application for one of its own properties;
 - c) act in accordance with the principles of natural justice;
 - d) impose conditions on a licence as prescribed in the Act by means of Regulations as either, Mandatory Conditions or Default Conditions, to be made by the Secretary of State or as may be appropriate in the particular circumstances of individual premises. Conditions will not duplicate other statutory requirements.

19. The GC's Licence Conditions and Codes of Practice (LCCP) prescribe the need for operators to consider local risks. Local risk assessments apply to all non-remote casino, adult gaming centre, bingo, family entertainment centre, betting and remote betting intermediary (trading room only) licences, except non-remote general betting (limited) and betting intermediary licences.
20. Licensees are required to assess the local risks to the licensing objectives posed by the provision of gambling facilities at each of their premises, and have policies, procedures and control measures to mitigate those risks. In undertaking their risk assessments, they must take into account relevant matters identified in this policy statement.
21. Licensees are required to undertake a local risk assessment when applying for a new premises licence. Risk assessments must also be updated:
 - a) When applying for a variation of a premises licence.
 - b) To take account of significant changes in local circumstances, including those identified in a licensing authority's policy statement.
 - c) When there are significant changes at a licensee's premises that may affect their mitigation of local risks.
22. The licensing authority has an expectation that all local risk assessments will take into account the local social profile of the area.

Section 8 - The protection of children and other vulnerable persons from being harmed or exploited by gambling

23. Responsible Authorities are set out in Annex B. These authorities are required to be notified by applicants of their intention to apply for a licence etc, and are able to make representations against applications. Specifically in relation to protecting children and other vulnerable persons from harm, the Licensing Authority has discretion to determine the most appropriate body competent to advise the Authority about protection from harm.
24. The Licensing Authority considers the Local Safeguarding Children Board to be the competent body to advise the Authority on matters relating to the above sub section.
25. The Local Safeguarding Children Board is the statutory mechanism for agreeing how the relevant organisations in each local area will co-operate to safeguard and promote the welfare of young or vulnerable people.

26. It is therefore highly appropriate that any activities taking place in the locality that have the potential to impact upon the well being of young or vulnerable people are brought to the Board's attention so that any necessary response or action can be considered.
27. This is a wide remit but it is extremely helpful for the organisations represented on the Board which includes all the statutory agencies working with children and families to be aware at the earliest opportunity of applications for gambling licences/permits etc, as the location and hours open can have implications for young persons in that area.

Section 9 - Interested parties

28. Section 158 of the Act defines interested parties as persons who:
- a) live sufficiently close to the premises to be likely to be affected by the authorised activities;
 - b) have business interests that might be affected by the authorised activities; or
 - c) represent persons who satisfy paragraph a) or b).
29. In determining whether an interested party "lives sufficiently close to the premises" the Licensing Authority will consider factors such as:
- a) the size of the premises;
 - b) the nature of the premises;
 - c) the distance of the premises from the location of the person making the representation;
 - d) the potential impact of the premises, such as number of customers, routes likely to be taken by those visiting the establishment; and
 - e) the nature of the complainant; that is whether the interests of the complainant may be relevant to the distance from the premises, for example, a private resident, a residential school for children with truanting problems or a hostel for vulnerable adults.
30. In determining whether "business interests might be affected" the Licensing Authority will consider factors such as:
- f) the size of the premises;
 - g) the 'catchment' area of the premises;

- h) whether the person making the representation has business interests in the catchment area that might be affected.
31. In determining who may “represent persons” who live in the area or have business Interests, the Licensing Authority will consider the following categories:
- i) trade associations;
 - j) trade unions;
 - k) resident’s and tenant’s associations;
 - l) MP’s, Ward Councillors, Town or Parish Councils and Town and Parish Councillors’
 - m) Any other person, on a case by case basis, who, in the opinion of the Licensing Authority satisfies the Authority, in writing, that they truly represent interested parties.

Section 10 - Licence Conditions

32. The Licensing Authority will impose conditions that are either mandatory or default as prescribed in the Act or in Regulations prescribed by the Secretary of State, and may impose conditions which the Committee regard as necessary to meet the Licensing Objectives or are specific to the premises being considered.
33. Any conditions attached to any particular licence will:
- a) always be tailored to the style and characteristics of the premises in question;
 - b) only be applied when needed for the prevailing circumstances and;
 - c) will only be applied when necessary to help achieve the Licensing Objectives.
34. Licence conditions will not be imposed where other regulatory regimes provide sufficient protection to the public, for example, Health and Safety at Work and Fire Safety Legislation.
35. Whenever reasonably practicable, the Licensing Authority will ensure that other legislation, most notably Fire Safety Legislation, does not omit controls on the understanding they will be addressed by licensing conditions.

Section 11 - Enforcement

36. Where enforcement action is necessary, the Council will act in accordance with its published Enforcement Policy, which in turn is based on the principles of the Regulatory Compliance Code.

37. The Licensing Authority will enforce, alone or in partnership, all breaches of the licence conditions under the Act where appropriate.
38. The Authority recognises that certain bookmakers have a number of premises within its area. In order to ensure that any compliance issues are recognised and resolved at the earliest stage, operators are requested to give the authority a single named point of contact who should be a senior individual and whom the Authority may contact first should any compliance queries or issues arise. Notwithstanding this the Authority reserves the right to act directly against individuals where the extent of the problem or offence is deemed appropriate.

Section 12 - Information Exchange

39. The Licensing Authority will have regard to the requirements of the Freedom of Information Act concerning information it holds upon applicants, licences and permits etc. This information will be freely available as it will be a requirement for the Licensing Authority to maintain a public register of the premises licences it has issued. Such information will include details of applicants, licence holders, and licence conditions.
40. Copies of applications and supporting documentation will be made available to Responsible Authorities under the Act
41. In the case of representations made against an application for a licence or permit these will be made available to the applicant so that they can address any issues raised in a hearing held to determine their application. The name and address of the person making the representation will normally be made available to the applicant but will be withheld upon request. In such cases, an objector must appreciate that the representation may receive lesser consideration.

ANNEX A: Delegation of Licensing Functions

Matter to be dealt with	Full Council	Sub Committee of Licensing Committee	Delegated to Officers
Approval of statement of principles	X		
Policy not to permit casinos	X		
Fee setting (when appropriate)			X



Application for Premises Licence (new, variation, transfer and provisional statements)		Where representations have been received and not withdrawn	Where no representations received/ representations have been withdrawn
Review of a premises licence		X	
Application for club gaming / club machine permits		Where representations have been received and not withdrawn	Where no representations received/ representations have been withdrawn
Cancellation of club gaming / club machine permits			X
Applications for other permits			X
Cancellation of licensed premises gaming machine permits			X
Consideration of temporary use notice			X
Decision to give a counter notice to a temporary use notice		X	
Revocation of premises licence due to failure to pay annual fee			X
Registration of small society lotteries			X
Cancellation of registration of small society lottery due to failure to pay annual fee			X

X indicates the lowest level to which decisions can be delegated

Annex B

Responsible Authority	Point of Contact
The Licensing Authority	Licensing Team Manager, West Berkshire District Council, Council Offices, Market Street, Newbury, RG14 5LD



West Berkshire
C O U N C I L

	licensing@westberks.gov.uk
The Gambling Commission	Victoria Square House, Victoria Square, Birmingham B2 4BP
The Chief Officer of Police	Licensing, Thames Valley Police, Headquarters (South), Kidlington, OX5 2NX licensing@thamesvalley.pnn.police.uk
The Fire Authority	The Fire Safety Officer, Royal Berkshire Fire & Rescue Service, Hawthorn Road, Newbury, RG14 1LD NewburyFireSafety@rbfrs.co.uk
The Local Planning Authority - Town and Country Planning Act 1990 (c.8)	Development Control Manager, West Berkshire District Council, Council Offices, Market Street, Newbury, RG14 5LD
The Enforcing Authority for Health & Safety at Work Act 1974	Principal Commercial Officer (Health & Safety Enforcement) West Berkshire District Council, Council Offices, Market Street, Newbury, RG14 5LD For all Council owned or operated premises and those others where the Health & Safety Executive is the Enforcing Authority - Health & Safety Executive, Priestly House, Priestly Road, Basingstoke, RG24 9NW
The Enforcing Authority for Pollution	Principal Environmental Quality Officer, West Berkshire District Council, Council Offices, Market Street, Newbury, RG14 5L
Public Health and Wellbeing	Senior Public Health Programme Manager, Wellbeing West Berkshire District Council, Council Offices, Market Street, Newbury, RG14 5LD
Local Safeguarding Children Board	West Berkshire District Council, Council Offices, West Street House, West Street, Newbury, RG14 1BZ
HM Revenue and Customs	HMRC National Registration Unit, Portcullis House, 21 India St, Glasgow G2 4PZ NRUBetting&Gaming@HMRC.gsi.gov.uk
The Secretary of State	Tourism Division, 3 rd Floor, 2-4 Cockspur Street, London. SW1Y 5DH



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